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COLLEGE *of* LAW



The Corporate Law Center 23rd Annual Symposium

The Globalization of Securities Regulation: Competition or Coordination?

■ March 5, 2010

8:00 am – 3:45 pm

College of Law, Room 114

Application for 5 hours of general CLE has been submitted to Ohio and Kentucky and approval is expected.

Presented by the Corporate Law Center and the University of Cincinnati Law Review. The Corporate Law Symposium is generously sponsored by the law firm of Dinsmore & Shohl, LLP. For more information, contact Lori Strait at lstrait@uc.edu, or visit our website at www.law.uc.edu/institutes/corporate_law/.





The Globalization of Securities Regulation: Competition or Coordination?

After the enactment of Sarbanes-Oxley in 2002, influential voices in the business, political, and academic communities expressed concern that the U.S. markets were losing their competitive advantage. While a number of factors were identified as contributing to this decline, higher U.S. regulatory compliance costs and liability risks were, in particular, singled out. Regulators, in turn, considered proposals that would ease barriers to entry. The 2008 financial meltdown increased awareness of the interconnectedness of markets and the importance of a coordinated approach toward securities regulation. Thus, the Obama administration's financial regulatory reform calls for raising international regulatory standards and improving international cooperation. As policy makers, regulators and academics consider proposals for regulatory reform, how will these considerations – competition and coordination – play out?

The panelists' papers will appear in a forthcoming issue of the *University of Cincinnati Law Review*.

The Corporate Law Symposium is generously sponsored by the law firm of Dinsmore & Shohl, LLP.



8:00-8:45 am — Registration and Continental Breakfast

8:45-9:00 am — Welcome

Louis D. Billonis, Dean and Nippert Professor of Law, University of Cincinnati College of Law

9:00-10:30 am — Panel 1: Politics and Regulatory Agenda

Headless Globalism: the SEC's Roadmap to Accounting Convergence

William Wilson Bratton, Peter P. Weidenbruch, Jr. Professor of Business Law, Georgetown University Law Center

The Rhetoric and Political Economy of International Securities Regulation

Steven M. Davidoff, Associate Professor of Law, University of Connecticut School of Law

Compensation, Carrots, and Competition: A Political Economy of the Financial Stability Board and Its Principles for Sound Compensation Practices

Frederick King, Robert T. Thompson Professor of Law and Business, Emory University School of Law

10:30-10:45 am — Break

10:45 am-12:15 pm — Panel 2: Activities of International Firms in Global Markets

The Global Enterprise in Cross-Border Securities Litigation

Hannah Buxbaum, Professor of Law and Associate Dean for Research, Maurer School of Law, Indiana University-Bloomington

Disrupting Financial Conglomerates: Domestic and Global Consequences and Implications

James A. Fanto, Professor and Associate Director, Center of the Study of International Business Law, Brooklyn Law School



Registration Information

The Globalization of Securities Regulation: Competition or Coordination?

March 5, 2010

Yes, I will be attending the a.m. sessions of the Corporate Law Symposium and will be staying for lunch.

Yes, I will be attending the p.m. session of the Corporate Law Symposium, which does not include lunch.

Yes, I will be attending the a.m. and p.m. sessions of the Corporate Law Symposium, which includes lunch.

Name: _____

Mailing Address: _____

Firm or Affiliation: _____

Day Telephone: _____

Email: _____

Number of guests: _____

Please respond by faxing this card to 513.556.1236 or by sending your response via mail to: *University of Cincinnati College of Law, The Corporate Law Center, PO Box 210040, Cincinnati, OH 45221-0040. If you have questions, please contact Lori Strat via email at lori.strat@uc.edu or by phone at 513.556.0117.*



Private Ordering and International Securities Regulation

Eric J. Pan, Associate Professor of Law and Director, The Samuel and Romie Heyman Center on Corporate Governance, Cardozo School of Law, Yeshiva University

12:15-1:30 pm — Lunch

1:30-3:30 pm — Panel 3: Regulatory Evolution: Other Models, Other Times

Lessons from Networks

Robert B. Abdiel, Professor of Law, Emory University School of Law.

Open Markets in *Leopardy*?

Andrea M. Corcoran, Principal, Align International, LLC (formerly Director, Office of International Affairs, Commodity Futures Trading Commission)

Territorial Regulation after the Financial Crisis

Christopher Brummer, Professor of Law, Georgetown University Law Center

Contemplating the Endgame: An Evolutionary Model for the Harmonization and Centralization of International Securities Regulation

Eric C. Chaffee, Associate Professor and Chair, Project for Law & Business Ethics, University of Dayton School of Law

3:30-3:45 pm — Closing Remarks

Barbara Black, Charles Hartscock Professor of Law and Director, Corporate Law Center, University of Cincinnati College of Law

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